# $D \ R \ A \ F \ T$ Document for a Standard Message-Passing Interface

Message Passing Interface Forum

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## Chapter 17

## **Process Fault Tolerance**

## 17.1 Introduction

Long running and large scale applications are at increased risk of encountering process failures during normal execution. We consider a process failure In distributed systems with numerous or complex components, the risk that the fault of a component manifests as a process failure that disrupts the normal execution of a long running application is serious. A process failure is a common ultimate outcome for many hardware, network or software faults that cause a process to crash; It can be more formally defined as a fail-stop failure; failed processes become ; the failed process becomes permanently unresponsive to communications. This chapter introduces the MPI features that support the development of applications<del>and libraries</del>, libraries, and programming languages that can tolerate process failures. The approach described in this chapter is intended to prevent the deadlock of processes while avoiding impact on the failure-free execution of an application. primary goal is to specify error classes and interfaces that permit users to continue simple MPI communication operations after failures have impacted the execution, and rebuild MPI objects (communicators, files, etc.) as needed to restore the full capability of MPI to carry elaborate communication operations (like collective communications.) This specification does not include mechanisms to restore the lost data from failed processes; the literature is rich with wildly varied fault tolerance techniques that the users may employ at their discretion, including checkpoint-restart, algorithmic dataset recovery, or continuation ignoring failed processes. All these fault tolerance approaches benefit from, and often require, the definitions and interfaces specified in this chapter to resume communicating after a failure. 

The expected behavior of MPI in the case of a process failure is defined by the following statements: any MPI operation that involves a failed process must not block indefinitely, but either succeed or raise an MPI exception (see Section 17.2); an MPI operation that does not involve the a failed process will complete normally, unless interrupted by the user through provided functionality. Exceptions only indicate the local impact of the failure on an operation. Asynchronous failure propagation is not required guaranteed or required and users must exercise caution when reasoning on the set of ranks where a failure has been detected and raised an exception. If an application needs global knowledge of failures, it can use the interfaces defined in Section 17.3 to explicitly propagate the notification of locally detected failures.

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The typical usage pattern on some reliable machines may not command the need for

fault tolerance. An MPI implementation that does not tolerate process failures must provide  $^{2}$ 3 the interfaces and semantics defined in this chapter as long as no failure occurred. It must never raise an exception of class MPI\_ERR\_PROC\_FAILED or MPI\_ERR\_PENDING because of 4 to report a process failure. 5This chapter does not define process failure semantics for the operations specified in 6 Chapters, therefore they remain undefined by the MPI standard Fault tolerant applications 7 using the interfaces defined in this chapter must compile, link and run successfully in failure 8 free executions. 9 10 Many of the operations and semantics described in this chapter Advice to users. 11 are only applicable when the MPI application has replaced the default error handler 12MPI\_ERRORS\_ARE\_FATAL on, at least, MPI\_COMM\_WORLD. (End of advice to users.) 13 14 1517.2Failure Notification 16 17This section specifies the behavior of an MPI communication operation when failures oc-18 cur on processes involved in the communication. A process is considered involved in a 19communication if any of the following is true: 20211. the operation is collective and the process appears in one of the groups of the associated communication object; 22 23 2. the process is a specified or matched destination or source in a point-to-point com-24 munication; 2526 3. the operation is an MPI\_ANY\_SOURCE receive operation and the failed process belongs 27to the source group. 2829 Therefore, if An operation involving a failed process must always complete in a finite 30 amount of time (possibly by raising a process failure exception). If an operation does not 31 involve a failed process (such as a point-to-point message between two non-failed processes), 32it must not raise a process failure exception. 33 34 Advice to implementors. A correct MPI implementation may provide failure detec-35 tion only for processes involved in an ongoing operation, and postpone detection of 36 other failures until necessary. Moreover, as long as an implementation can complete 37 operations, it may choose to delay raising an error exception. Another valid imple-38 mentation might choose to raise an error exception as quickly as possible. (End of 39 advice to implementors.) 40 When a communication operation raises an exception related to process failure, it 41 42may not satisfy its specification. In particular, a synchronizing operations may not have synchronized, and the content of the output buffers, targeted memory or output parameters 43is *undefined*. Operations excepting this rule are explicitly stated in the remainder of this 44 chapter. 45Non-blocking operations must not raise an exception about process failures during ini-46 tiation. All process failure errors are postponed until the corresponding completion function 47is called. 48

## 17.2.1 Startup and Finalize

Advice to implementors. If a process fails during MPI\_INIT but its peers are able to complete the MPI\_INIT successfully, then a high quality implementation will return MPI\_SUCCESS and delay the reporting of the process failure to a subsequent MPI operation. (End of advice to implementors.)

MPI\_FINALIZE will complete successfully even in the presence of process failures.

Advice to users. Considering Example 8.10 in Section 8.7, the process with rank 0 in may have failed before, during, or after the call to MPI raises exceptions only before MPI\_FINALIZE - MPI only provides failure detection capabilities up to when is invoked and thereby provides no support for fault tolerance during or after MPI\_FINALIZE. Applications are encouraged to implement all rank-specific code before the call to 14MPI\_FINALIZE to handle the case where process. Considering Example 8.10 in Section 8.7, the process with rank 0 in MPI\_COMM\_WORLD fails may have failed before, during, or after the call to MPI\_FINALIZE, possibly leading to this code never being executed. (End of advice to users.)

#### 17.2.2 Point-to-Point and Collective Communication

An MPI implementation raises exceptions of the following error classes to notify users that a point-to-point communication operation could not complete successfully because of the failure of involved processes:

- MPI\_ERR\_PENDING indicates, for a non-blocking communication, that the communication is a receive operation from MPI\_ANY\_SOURCE and no matching send has been posted, yet a potential sender process has failed. Neither the operation nor the request identifying the operation are completed. Note that the same error class is also used in status when another communication raises an exception during the same operation (as defined in Section 3.7.5).
- In all other cases, the operation raises an exception of class MPI\_ERR\_PROC\_FAILED to indicate that the failure prevents the operation from following its failure-free specification. If there is a request identifying the point-to-point communication, it is completed. Future point-to-point communication with the same process on this communicator must also raise MPI\_ERR\_PROC\_FAILED.

### Advice to users.

To acknowledge a failure and discover which processes failed, the user should call MPI\_COMM\_FAILURE\_ACK (as defined in Section 17.3.1).

(End of advice to users.)

When a collective operation cannot be completed because of the failure of an involved process, the collective operation raises an error exception of class MPI\_ERR\_PROC\_FAILED.

## Advice to users.

Depending on how the collective operation is implemented and when a process failure 47occurs, some participating alive processes may raise an exception while other processes 48

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return successfully from the same collective operation. For example, in MPI\_BCAST, the root process may succeed before a failed process disrupts the operation, resulting in some other processes raising an error. exception.

However, it is noteworthy that for collective operations on an intracommunicator in which all processes contribute to the result and all processes receive the result, processes which do not enter the operation to process failure provoke all surviving ranks to raise. Similarly, for the same collective operations on an intercommunicator, a process in the remote group which failed before entering the operation has the same effect on all surviving ranks of the local groupsome operation semantic may 10 force raising an exception at all ranks, if a process fails before entering the operation. 11 As an example, on an intracommunicator, if a process raises an exception from an 12operation matching a particular process, the process receiving that exception can then 13 assume that in a following MPI\_BARRIER on this communicator, all ranks will raise 14 an exception MPI\_ERR\_PROC\_FAILED, because the participating process is known to 15have failed before entering the barrier.

- 16 (End of advice to users.) 17
  - Advice to users.

19 Note that communicator creation functions (like MPI\_COMM\_DUP or 20

MPI\_COMM\_SPLIT) are collective operations. As such, if a failure happened dur-21ing the call, an error exception might be raised at some processes while others suc-22 ceed and obtain a new communicator. While it is valid to communicate between 23 processes which succeeded to create the new communicator, it is the responsibil-24 ity of the user to ensure that all involved processes have a consistent view of the 25communicator creation, if needed the possibility to match posted operations. A con-26servative solution is to have each process either revoke (see check the global outcome 27 of the communicator creation function with MPI\_COMM\_AGREE (defined in Sec-28tion 17.3.1) the parent communicator if the operation fails, or call an on the parent 29 communicator and then revoke the new communicator if the fails. 30

- , as illustrated in Example 17.1. (End of advice to users.)
- 32 When a communication operation raises an exception related to process failure, the 33 content of the output buffers is *undefined*. 34

After a process failure, MPI\_COMM\_FREE, as with all other collective operations, may not complete successfully at all ranks. For any rank which receives the return code MPI\_SUCCESS, the behavior is defined as in Section 6.4.3. If a rank raises a process failure exception (MPI\_ERR\_PROC\_FAILED or MPI\_ERR\_REVOKED), the implementation makes no guarantee about the success or failure of the MPI\_COMM\_FREE operation remotely; however it still attempts to clean up any local data used by the Window object. This will be signified by returning MPI\_COMM\_NULL only when the object has successfully been freed locally.

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#### **Dynamic Process Management** 17.2.3

44 Dynamic process management functions require some additional semantics from the MPI 45implementation as detailed below. 46

1. If the MPI implementation raises an error exception related to process failure to 47the root process of MPI\_COMM\_CONNECT or MPI\_COMM\_ACCEPT, at least the 48

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root processes of both intracommunicators must raise the same error exception of class MPI\_ERR\_PROC\_FAILED (unless required to raise MPI\_ERR\_REVOKED as defined by 17.3.1). The same is true if the implementation returns an error to raises an exception at any process in MPI\_COMM\_JOIN.

2. If the MPI implementation raises an <u>error exception</u> related to process failure to the root process of MPI\_COMM\_SPAWN or MPI\_COMM\_SPAWN\_MULTIPLE, no spawned processes should be able to communicate on the created intercommunicator.

Advice to users. As with communicator creation functions, it is possible that if a failure happens during dynamic process management operations, an error exception might be raised at some processes while others succeed and obtain a new communicator. (*End of advice to users.*)

## 17.2.4 One-Sided Communication

One-Sided communication operations must provide failure notification in their synchronization operations which may raise an error exception due to process failure (see Section 17.2). If the implementation does not raise an error exception related to process failure in the synchronization function, the epoch behavior is unchanged from the definitions in Section 11.5. As with collective operations over MPI communicators, it is possible that some processes have detected a failure and raised MPI\_ERR\_PROC\_FAILED, while others returned MPI\_SUCCESS. Once the implementation returns an error raises an exception related to process failure on a specific window in a synchronization function, all subsequent operations on that window much also return an error code must also raise an exception related to process failure.

Unless specified below, the state of memory targeted by any process in an epoch in which operations raised an <u>error exception</u> related to process failure is undefined, with the exception of memory targeted by remote read operations (and operations which are semantically equivalent to read operations, such as an MPI\_ACCUMULATE with MPI\_NO\_OP as the operation). All other window locations are valid.

If a failure is to be reported during active target communication functions-

If an exception is raised from an active target synchronization operation

MPI\_WIN\_COMPLETE or MPI\_WIN\_WAIT (or the non-blocking equivalent

MPI\_WIN\_TEST), the epoch is considered completed and all operations not involving the failed processes must complete successfully.

If the

MPI\_WIN\_LOCK and MPI\_WIN\_UNLOCK may raise MPI\_ERR\_PROC\_FAILED when any process in the window has failed. An implementation cannot block indefinitely in a correct program waiting for a lock to be acquired; If the owner of the lock has failed, some other process trying to acquire the lock either succeeds or raises an exception of class MPI\_ERR\_PROC\_FAILED. If the target rank has failed, MPI\_WIN\_LOCK and MPI\_WIN\_UNLOCK operations raise an error must raise an exception of class MPI\_ERR\_PROC\_FAILED. The lock cannot be acquired again at any target in the window, and all subsequent operations on the lock must raise MPI\_ERR\_PROC\_FAILED. As with communicator-based operations, an implementation cannot block indefinitely in a correct program waiting for a lock to be acquired. If the owner of the lock has failed, some other process should be notified via the return code .

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Advice to implementors. If a non-target rank in the window fails, it is possible that the implementation will a high quality implementation may be able to mask such an error a fault inside the locking algorithm and continue to allow the remaining ranks to acquire the lock without raising errors. (End of advice to implementors.)

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> It is possible that request-based RMA operations complete successfully (via operations such as MPI\_TEST or MPI\_WAIT) while the enclosing epoch completes by raising an exception due to a process failure. In this scenario, the local buffer is valid but the remote targeted memory is undefined.

After a process failure<u>has been detected</u>, MPI\_WIN\_FREE, as with all other collective operations, may not complete successfully <del>on at</del> all ranks. For any rank which receives the return code MPI\_SUCCESS, the behavior is defined as in Section 11.2.5. If a rank <del>receives</del> <del>a return code related to process failure raises a process failure exception (</del>

MPI\_ERR\_PROC\_FAILED or MPI\_ERR\_REVOKED), the implementation makes no guarantee about the success or failure of the MPI\_WIN\_FREE operation remotely, though it should still attempt; however it still attempts to clean up any local data used by the Window object. This will be signified by returning MPI\_FILE\_NULL only when the object has successfully been freed locally.

It is possible that request-based RMA operations complete successfully (via operations
 such as or ) while the enclosing epoch completes by raising error due to process failure. In
 this scenario, the local buffer is valid but the remote targeted memory is undefined.

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17.2.5 I/O

<sup>24</sup> I/O <u>backend failure</u> error classes and their consequences are defined in Section 13.7. The <sup>26</sup> following section defines the behavior of I/O operations when MPI process failures prevent <sup>27</sup> their successful completion.

Since collective I/O operations may not synchronize with other processes, process failures may not be reported during a collective I/O operation. If a process failure prevents a file operation from completing, an MPI exception of class MPI\_ERR\_PROC\_FAILED is raised. Once an MPI implementation has raised an error exception of class MPI\_ERR\_PROC\_FAILED, the state of the file pointer involved in the operation which returned the error code raised the exception is undefined.

Advice to users.

Since collective I/O operations may not synchronize with other processes, process failures may not be reported during a collective I/O operation. Users are encouraged to use MPI\_COMM\_AGREE on a communicator containing the same group as the file handle , when they need to deduce the completion status of collective operations on file handles and maintain a consistent view of file pointers. The file pointer can be reset using with the MPI\_FILE\_SEEK with the MPI\_SEEK\_SET update mode.

(End of advice to users.)

After a process failure has been detected, MPI\_FILE\_CLOSE, as with all other collective operations, may not complete successfully on at all ranks. For any rank which receives the return code MPI\_SUCCESS, the behavior is defined as in Section <u>11.2.513.2.2.</u> If a rank receives a return code related to process failure raises a process failure exception

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(MPI\_ERR\_PROC\_FAILED or MPI\_ERR\_REVOKED), the implementation makes no guarantee about the success or failure of the MPI\_FILE\_CLOSE operation remotely, though it should still attempt; however it still attempts to clean up any local data used by the File objecthandle. This will be signified by returning MPI\_FILE\_NULL only when the object has successfully been freed locally.

## 17.3 Failure Mitigation Functions

## 17.3.1 Communicator Functions

MPI provides no guarantee of global knowledge of a process failure. Only processes involved in a communication operation with the failed process are guaranteed to eventually detect its failure (see Section 17.2). If global knowledge is required, MPI provides a function to revoke a communicator at all members.

MPI\_COMM\_REVOKE( comm )

IN comm communicator (handle)

int MPI\_Comm\_revoke(MPI\_Comm comm)

MPI\_COMM\_REVOKE(COMM, IERROR) INTEGER COMM, IERROR

This function notifies all processes in the groups (local and remote) associated with the communicator comm that this communicator is now considered revoked. This function is not collective and therefore does not have a matching call on remote processes. It is erroneous to call on a communicator for which no operation raised an MPI exception related to process failure. All alive processes belonging to comm will be notified of the revocation despite failures. The revocation of a communicator completes any non-local MPI operations on comm by raising an error exception of class MPI\_ERR\_REVOKED, with the exception of MPI\_COMM\_SHRINK and MPI\_COMM\_AGREE (and its nonblocking equivalent). A communicator becomes revoked as soon as:

- 1. MPI\_COMM\_REVOKE is locally called on it;
- 2. Any MPI operation raised an <u>error exception</u> of class MPI\_ERR\_REVOKED because another process in comm has called MPI\_COMM\_REVOKE.

Once a communicator has been revoked, all subsequent non-local operations on that communicator, with the exception of MPI\_COMM\_SHRINK and MPI\_COMM\_AGREE (and its nonblocking equivalent), are considered local and must complete by raising an error exception of class MPI\_ERR\_REVOKED.

Advice to users. High quality implementations are encouraged to do their best to free resources locally when the user calls free operations on revoked communication objects, or communication objects containing failed processes. (End of advice to users.)

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MPI\_COMM\_SHRINK( comm, newcomm ) 1  $\mathbf{2}$ IN comm communicator (handle) 3 OUT communicator (handle) newcomm 4 5int MPI\_Comm\_shrink(MPI\_Comm comm, MPI\_Comm\* newcomm) 6 7 MPI\_COMM\_SHRINK(COMM, NEWCOMM, IERROR) 8 INTEGER COMM, NEWCOMM, IERROR 9 This collective operation creates a new intra or inter communicator **newcomm** from the 10 intra or inter communicator comm respectively by excluding its failed processes as detailed 11 below. It is valid MPI code to call MPI\_COMM\_SHRINK on a communicator which has 12been revoked (as defined above). 13 This function must not raise an error exception due to process failures (error classes 14 MPI\_ERR\_PROC\_FAILED and MPI\_ERR\_REVOKED). All processes agree on the content of the 15group of processes that failed. This group includes at least every process failure that has 16 raised an MPI exception of class MPI\_ERR\_PROC\_FAILED or MPI\_ERR\_PENDING. The call 17is semantically equivalent to an MPI\_COMM\_SPLIT operation that would succeed despite 18 failures, and where living processes participate with the same color, and a key equal to their 19 rank in comm and failed processes implicitly contribute MPI\_UNDEFINED. 2021Advice to users. This call does not guarantee that all processes in newcomm are 22 alive. Any new failure will be detected in subsequent MPI operations. (End of advice 23 to users.) 24 2526 27MPI\_COMM\_FAILURE\_ACK( comm ) 28IN comm communicator (handle) 29 30 int MPI\_Comm\_failure\_ack(MPI\_Comm comm) 31 32MPI\_COMM\_FAILURE\_ACK(COMM, IERROR) 33 INTEGER COMM, IERROR 34 This local operation gives the users a way to *acknowledge* all locally notified failures on 35comm. After the call, unmatched MPI\_ANY\_SOURCE receptions that would have raised an 36 error code exception MPI\_ERR\_PENDING due to process failure (see Section 17.2.2) proceed 37 without further reporting of errors raising exceptions due to those acknowledged failures. 38 39 Advice to users. Calling MPI\_COMM\_FAILURE\_ACK on a communicator with failed 40 processes does not allow that communicator to be used successfully for collective 41 operations. Collective communication on a communicator with acknowledged fail-42 ures will continue to raise an error exception of class MPI\_ERR\_PROC\_FAILED as de-43fined in Section 17.2.2. To reliably use collective operations on a communicator with 44 resume using collective operations when a communicator contains failed processes, the 45communicator should first be revoked using and then a new communicator should be 46 created using MPI\_COMM\_SHRINK. (End of advice to users.) 4748

MPI_COM	M_FAILURE_GET_ACKED( co	omm, failedgrp )	1							
IN	comm	communicator (handle)	2							
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OUT	failedgrp	group of failed processes (handle)	4							
			5							
<pre>int MPI_Comm_failure_get_acked(MPI_Comm comm, MPI_Group* failedgrp)</pre>										
MPI_COMM_FAILURE_GET_ACKED(COMM, FAILEDGRP, IERROR)										
INTEC	GER COMM, FAILEDGRP, IERRO	DR	8 9							
This local operation returns the group failedgrp of processes, from the communicator comm, which have been locally acknowledged as failed by preceding calls to MPI_COMM_FAILURE_ACK. The failedgrp can be empty, that is, equal to										
					MPI_GROU	MPI_GROUP_EMPTY.				
								14		
	M_AGREE( comm, flag )		15							
	M_AGREE( comm, mag )		16							
IN	comm	communicator (handle)	17							
INOUT	flag	boolean flag	18							
			19 20							
int MPI_C	<pre>int MPI_Comm_agree(MPI_Comm comm, int* flag)</pre>									
МРТ СОММ	AGREE(COMM, FLAG, IERROR)		21 22							
	CAL FLAG		23							
	ER COMM, IERROR		24							
	-		25							
	This function performs a collective operation on the group of living processes in comm.									
On completion, all living processes must agree to set the output value of flag to the result of a large $\frac{1}{2}AND^2$ or are the contributed input values of flag. This function must										
of a logical 'AND' operation over the <u>contributed</u> input values of flag. This function must not raise an error due to process failure (error classes and ), and processes Processes that										
failed before entering the call do not contribute to the operation.										
If comm is an intercommunicator, the value of flag is a logical 'AND' operation over										
	the values contributed by the remote group (where failed processes do not contribute to the									
operation).										
When	When MPI_COMM_AGREE completes, the group of living processes is consistent, therefore									
	all failures in comm to date have been detected. If comm contains failures that have not been									
acknowledged by MPI_COMM_FAILURES_ACK, MPI_COMM_AGREE raises an exception of										
	class MPI_ERR_PROC_FAILED; such an exception is also raised at all surviving ranks. The									
		on is raised. This function never raise an exception	38							
OI CLASS IVI	PI_ERR_REVOKED.		39							
Advi	ce to users. MPI_COMM_A	GREE maintains its collective behavior even if the	40							
	<b>n</b> is revoked. ( <i>End of advice t</i>		41							
		,	42							
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			$45 \\ 46$							
			40							
			48							

```
MPI_COMM_IAGREE( comm, flag, req )
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       IN
                                               communicator (handle)
                 comm
3
       INOUT
                                               boolean flag
                 flag
4
       OUT
\mathbf{5}
                                               request (handle)
                  req
6
\overline{7}
     int MPI_Comm_iagree(MPI_Comm comm, int* flag, MPI_Request* req)
8
     MPI_COMM_IAGREE(COMM, FLAG, REQ, IERROR)
9
          LOGICAL FLAG
10
          INTEGER COMM, REQ, IERROR
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12
          This function has the same semantics as MPI_COMM_AGREE except that it is non-
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     blocking.
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     17.3.2 One-Sided Functions
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     MPI_WIN_REVOKE( win )
19
       IN
                                               window (handle)
                 win
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21
22
      int MPI_Win_revoke(MPI_Win win)
23
     MPI_WIN_REVOKE(WIN, IERROR)
24
          INTEGER WIN, IERROR
25
26
          This function notifies all processes within the window win that this window is now
27
      considered revoked. A revoked This function is not collective and therefore does not have
28
      a matching call on remote processes. All alive processes belonging to win will be notified of
29
      the revocation despite failures. The revocation of a window completes any non-local MPI
30
      operations on win with error and causes any new operations to complete with error by raising
31
      an exception of class MPI_ERR_REVOKED. Once a window has been revoked, all subsequent
32
      non-local operations on that window are considered local and must fail with an error raise
33
      an exception of class MPI_ERR_REVOKED.
34
35
     MPI_WIN_GET_FAILED( win, failedgrp )
36
37
       IN
                  win
                                               window (handle)
38
       OUT
                 failedgrp
                                               group of failed processes (handle)
39
40
      int MPI_Win_get_failed(MPI_Win win, MPI_Group* failedgrp)
41
42
     MPI_WIN_GET_FAILED(WIN, FAILEDGRP, IERROR)
43
          INTEGER COMM, FAILEDGRP, IERROR
44
          This local operation returns the group failedgrp of processes from the window win which
45
     are locally known to have failed.
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```

Advice to users. MPI makes no assumption about asynchronous progress of the failure detection. A valid MPI implementation may choose to only update the group of locally known failed processes when it enters a synchronization function and must raise a process failure exception. (*End of advice to users.*)

Advice to users. It is possible that only the calling process has detected the reported failure. If global knowledge is necessary, processes detecting failures should use the call MPI\_WIN\_REVOKED. (*End of advice to users.*)

17.3.3 I/O Functions

MPI. IN	_FILE_REVOKE( fh ) fh	file (handle)
int	MPI_File_revoke(MPI_File fh)	
MPI_	FILE_REVOKE(FH, IERROR) INTEGER FH, IERROR	
	This function notifies all ranks with	in file processes within the file handle <b>fh</b> t

This function notifies all ranks within file processes within the file handle fh that this file handle is now considered revoked.

Ongoing This function is not collective and therefore does not have a matching call on remote processes. All alive processes belonging to the file handle fh will be notified of the revocation despite failures. The revocation of a file handle completes any non-local completion operations on a revoked file handle raise MPI operations on win by raising an exception of class MPI\_ERR\_REVOKED. Once a file handle has been revoked, all subsequent non-local operations on the file handle that file handle are considered local and must raise an MPI-exception of class MPI\_ERR\_REVOKED.

## 17.4 Error Codes and Classes

The following error classes are added to those defined in Section 8.4:

MPI_ERR_PROC_FAILED	The operation could not complete because
	of a process failure (a fail-stop failure).
MPI_ERR_REVOKED	The communication object used in the op-
	eration has been revoked.

Table 17.1: Additional process fault tolerance error classes

## 17.5 Examples

The example below illustrates how a new communicator can be safely created despite disruption by process failures. A child communicator is created with MPI\_COMM\_SPLIT, then the global success of the operation is verified with MPI\_COMM\_AGREE. If any process 

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failed to create the child communicator, all processes are notified by the value of the boolean
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     flag agreed on. Processes that had successfully created the child communicator destroy it,
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     as it cannot be safely used.
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     Example 17.1
                       Fault Tolerant Communicator Split Example
5
6
     int Comm_split_consistent(MPI_Comm parent, int color, int key, MPI_Comm* child)
7
     {
8
         rc = MPI_Comm_split(parent, color, key, child);
9
         split_ok = (MPI_SUCCESS == rc);
10
         MPI_Comm_agree(parent, &split_ok);
11
         if(split_ok) {
12
              /* All surviving processes have created the "child" comm
13
               * It may contain supplementary failures and the first
14
               * operation on it may raise an exception, but it is a
15
               * workable object that will yield well specified outcomes */
16
              return MPI_SUCCESS;
17
         }
18
         else {
19
              /* At least one process did not create the child comm properly
20
               * if the local rank did succeed in creating it, it disposes
21
               * of it, as it is a broken, unuseable object */
22
              if(MPI_SUCCESS == rc) {
23
                  MPI_Comm_free(child);
24
              }
25
              return MPI_ERR_PROC_FAILED;
26
         }
27
     }
28
29
     17.5.1
             Master/Worker
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31
     The example below presents a master code that handles failures by ignoring failed pro-
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     cesses and resubmitting requests. It demonstrates the different failure cases that may occur
33
     when posting receptions from MPI_ANY_SOURCE as discussed in the advice to users in Sec-
34
     tion 17.2.2.
35
     Example 17.2
                      Fault-Tolerant Master Example
36
37
     int master(void)
38
     {
39
         MPI_Comm_set_errhandler(comm, MPI_ERRORS_RETURN);
40
         MPI_Comm_size(comm, &size);
41
42
         /* ... submit the initial work requests ... */
43
44
         MPI_Irecv( buffer, 1, MPI_INT, MPI_ANY_SOURCE, tag, comm, &req );
45
46
         /* Progress engine: Get answers, send new requests,
47
             and handle process failures */
48
```

```
while( (active_workers > 0) && work_available ) {
                                                                                1
    rc = MPI_Wait( &req, &status );
                                                                                2
    if( (MPI_ERR_PROC_FAILED == rc) || (MPI_ERR_PENDING == rc) ) {
                                                                                4
        MPI_Comm_failure_ack(comm);
        MPI_Comm_failure_get_acked(comm, &g);
        MPI_Group_size(g, &gsize);
        /* ... find the lost work and requeue it ... */
                                                                                10
        active_workers = size - gsize - 1;
                                                                                11
        MPI_Group_free(&g);
                                                                                12
                                                                                13
        /* repost the request if it matched the failed process */
                                                                                14
        if( rc == MPI_ERR_PROC_FAILED )
                                                                                15
            MPI_Irecv( buffer, 1, MPI_INT, MPI_ANY_SOURCE,
                                                                                16
                        tag, comm, &req );
                                                                                17
        }
                                                                                18
                                                                                19
        continue;
                                                                                20
    }
                                                                                21
                                                                               22
    /* ... process the answer and update work_available ... */
                                                                               23
    MPI_Irecv( buffer, 1, MPI_INT, MPI_ANY_SOURCE, tag, comm, &req );
                                                                               24
}
                                                                                25
                                                                                26
/* ... cancel request and cleanup ... */
                                                                                27
                                                                                28
                                                                               29
```

## 17.5.2 Iterative Refinement

}

The example below demonstrates a method of fault-tolerance to detect and handle failures. At each iteration, the algorithm checks the return code of the MPI\_ALLREDUCE. If the return code indicates a process failure for at least one process, the algorithm revokes the communicator, agrees on the presence of failures, and later shrinks it to create a new communicator. By calling MPI\_COMM\_REVOKE, the algorithm ensures that all processes will be notified of process failure and enter the MPI\_COMM\_AGREE. If a process fails, the algorithm must complete at least one more iteration to ensure a correct answer.

Fault-tolerant iterative refinement with shrink and agreement Example 17.3

```
while( gnorm > epsilon ) {
                                                                                      41
    /* Add a computation iteration to converge and
                                                                                     42
       compute local norm in lnorm */
                                                                                     43
    rc = MPI_Allreduce( &lnorm, &gnorm, 1, MPI_DOUBLE, MPI_MAX, comm);
                                                                                     44
                                                                                      45
    if( (MPI_ERR_PROC_FAILED == rc) ||
                                                                                      46
        (MPI_ERR_COMM_REVOKE == rc) ||
                                                                                      47
        (gnorm <= epsilon) ) {</pre>
                                                                                      48
```

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\DIFaddbegin \DIFadd{/\* This rank detected a failure, but other ranks may have \* proceeded into the next MPI\_Allreduce. Since this rank \* will not match that following MPI\_Allreduce, these other \* ranks would be at risk of deadlocking, so this process \* calls MPI\_Comm\_revoke to interrupt other ranks and notify \* them that it has detected a failure and is leaving the \* failure free execution path to go into recovery. \*/ }\DIFaddend if( MPI\_ERR\_PROC\_FAILED == rc ) MPI\_Comm\_revoke(comm); /\* About to leave: let's be sure that everybody received the same information \*/ allsucceeded = (rc == MPI\_SUCCESS); \DIFaddbegin \DIFadd{rc = }\DIFaddend MPI\_Comm\_agree(comm, &allsucceeded); if( \DIFaddbegin \DIFadd{rc == MPI\_ERR\_PROC\_FAILED || }\DIFaddend !allsucceeded ) { \DIFdelbegin \DIFdel{/\* We plan to join the shrink, thus the communicator should be marked as revoked \*/ MPI\_Comm\_revoke(comm); }\DIFdelend MPI\_Comm\_shrink(comm, &comm2); MPI\_Comm\_free(comm); /\* Release the revoked communicator \*/ comm = comm2; gnorm = epsilon + 1.0; /\* Force one more iteration \*/ } } } 

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